

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.D. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO	.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/651,585	08/29/2000		Iwao Inagaki	JP9-1999-0175US	1014	
25259	7590	12/29/2005		EXAM	EXAMINER	
IBM COR	PORAT	ION	BLAIR, DOUGLAS B			
3039 COR DEPT. T81		S RD. PO BOX 12195	ART UNIT	PAPER NUMBER		
	-	NGLE PARK, N	2142			
				DATE MAILED: 12/29/200	5	

Please find below and/or attached an Office communication concerning this application or proceeding.

<u> </u>		Application	on No.	Applicant(s)				
		09/651,58	35	INAGAKI ET AL.				
	Office Action Summary	Examiner	<u> </u>	Art Unit				
		Douglas E	3. Blair	2142				
Period fo	The MAILING DATE of this communicate	ation appears on the	cover sheet w	ith the correspondence add	dress			
A SH WHIC - Exter after - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR CHEVER IS LONGER, FROM THE MAI assions of time may be available under the provisions of SIX (6) MONTHS from the mailing date of this community period for reply is specified above, the maximum statute to reply within the set or extended period for reply will reply received by the Office later than three months after the patent term adjustment. See 37 CFR 1.704(b).	ILING DATE OF TH 37 CFR 1.136(a). In no evi ication. tory period will apply and w II, by statute, cause the app	HIS COMMUNI ent, however, may a ill expire SIX (6) MOI dication to become A	CATION. reply be timely filed  NTHS from the mailing date of this co BANDONED (35 U.S.C. § 133).				
Status								
2a)⊠	Responsive to communication(s) filed This action is <b>FINAL</b> . 2b Since this application is in condition fo closed in accordance with the practice	)☐ This action is n r allowance except	non-final. for formal mat	•	e merits is			
Dispositi	on of Claims							
5)□ 6)⊠ 7)□ 8)□	Claim(s) 1-15 is/are pending in the app 4a) Of the above claim(s) is/are Claim(s) is/are allowed. Claim(s) 1-15 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction	withdrawn from co						
Applicati	on Papers							
10)	The specification is objected to by the E The drawing(s) filed on is/are: a Applicant may not request that any objection Replacement drawing sheet(s) including the The oath or declaration is objected to be	a) accepted or b) on to the drawing(s) be the correction is require	pe held in abeya red if the drawing	nce. See 37 CFR 1.85(a). g(s) is objected to. See 37 CF				
Priority (	ınder 35 U.S.C. § 119							
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
2) Notice 3) Inform	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTC mation Disclosure Statement(s) (PTO-1449 or PT r No(s)/Mail Date		Paper No	Summary (PTO-413) (s)/Mail Date Informal Patent Application (PTC 	D-152)			

Application/Control Number: 09/651,585

Art Unit: 2142

#### **DETAILED ACTION**

### Response to Amendment

1. Claims 1-15 are currently pending in this application.

# Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-15 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent Number 6,363,434 to Eytchison.
- 4. As to claim 1, Eytchison teaches a client server system using distributed objects, comprising: a client connected to a communication network for performing an access request to an object (col. 6, line 64-col. 7, line 11); an application server for performing an application by an actual object according to the access request by said client (col. 6, line 64-col. 7, line 11, the proxies on the home server the application); and an object pool server connected to said client through said communication network and connected to said application server for pooling a proxy object corresponding to said actual object and for holding actual object management information that is part of said actual object (col. 7, lines 20-29, the resource manager pools representations of the proxy devices), wherein said application server notifies said object pool server of an event according to a change in status of said application, and said object pool server

Application/Control Number: 09/651,585

Art Unit: 2142

automatically updates said actual object management information according to the notification of said event from said application server (col. 7, lines 20-28).

- 5. As to claim 2, Eytchison teaches the client server system as set forth in claim 1, wherein the event notified from said application server is formed according to at least one of the result of a process of starting a project and the result of stopping a project (col. 7, lines 12-20).
- 6. As to claim 3, Eytchison teaches an object pool using distributed objects, comprising: a client request analyzing unit for analyzing an access request to an object (col. 7, lines 12-28); an object information storage unit for storing an object information at a termination process of said object pool (col. 7, lines 12-28); an object creating unit for creating an object at the staring process of said object pool according to said object information sorted by said object information storage unit; and an object managing unit for pooling the object created by said object creating unit before accessing said object from said client (col. 7, lines 12-28).
- 7. As to claim 4, Eytchison teaches an object pool as set forth in claim 3, wherein the object information stored by said object information storage unit is constructed so that it can be at least recognized to be the last accessed object, and said object creating unit starts creation form said last accessed object (col. 7, lines 12-28).
- 8. As to claims 5, 10, and 12, they feature the same limitations as claim 1 and are rejected for the same reasons as claim 1.
- 9. As to claim 6, Eytchison teaches the client server system as set forth in claim 5, wherein an object pool server having the function of said object pool and an application server in said application execution environment are connected to each other through an network, said object pool server objects as proxy objects (col. 7, lines 12-28).

Application/Control Number: 09/651,585 Page 4

Art Unit: 2142

10. As to claim 7, Eytchison teaches the client server system as set forth in claim 5, wherein said object pool and said application execution environment are formed on the same server (col. 6, line 64-col. 7, line 11, the home server).

- 11. As to claims 8, 11, and 13, they feature the same limitations as claim 3 and are rejected for the same reasons as claim 3.
- 12. As to claim 9, Eytchison teaches the object pooling method of claim 8, wherein said object information is stored with a predetermined priority, and said objects are created in descending order with respect to said priority (col. 9, lines 40-56).
- 13. As to claim 14, Eytchison teaches a program sending apparatus, comprising: a storage unit for storing a software product which makes a computer execute an event forming program for forming an event according to a change in status of an application utilizing distributed objects, and an object pooling program for pooling objects according to the event formed by said event forming process (col. 7, lines 12-28); and a sending unit for reading out said program from said storage unit, and sending said software product (col. 7, lines 12-28).
- 14. As to claim 15, it features the same limitations as claim 14 and is rejected for the same reasons as claim 14.

# Response to Arguments

15. Applicant's arguments filed 10/13/2005 have been fully considered but they are not persuasive. The applicant argues that: a) Eytchinson does not teach a client server system using distributed objects; b) Eytchinson does not teach an application server and an object pool server; c) Eytchinson does not disclose an object creating unit or an object managing unit.

Application/Control Number: 09/651,585 Page 5

Art Unit: 2142

16. As to point a), the applicant's specification provides no details as to any real world applications to which the disclosure is directed leaving the terms "object" and "pooling" to be interpreted broadly. Eytchinson's invention is embodied on a home server so clearly it is a client server system and the devices can be considered distributed objects because they are not part of the server.

- 17. As to point b), there is nothing in the disclosure stating that the application server and the object pool server are embodied separately and the claim language merely states that the application server and the object pool server are "connected" so therefore it is interpreted that they can be embodied on the same entity, in this case the home server taught by Eytchinson. Specifically, the user application unit is considered the "application server" and the "resource manager is considered the "object pool server".
- 18. As to point c), the resource manager manages all of the data regarding the proxy objects and therefore creates handles for managing such objects.

#### Conclusion

19. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. U.S. Patent Number 6,341,311 to Smith teaches a system wherein and application server (Lateral access proxy server array) pools proxy objects from object pool servers (the internet).

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Douglas B. Blair whose telephone number is 571-272-3893. The examiner can normally be reached on 8:30am-5pm Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Caldwell can be reached on 571-272-3868. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Douglas Blair

BUNJOB JAROENCHONWANIT

Application/Control Number: 09/651,585

Art Unit: 2142

Page 7